

SIGMUND FREUD

## PSYCHOANALYSIS

### AUTOBIOGRAPHY<sup>1</sup>

I was born on May 6th, 1856, at Freiberg in Moravia, a small town in what is now Czechoslovakia. My parents were Jews, and I have remained a Jew myself. . . . When I was a child of four I came to Vienna, and I went through the whole of my education there. At the "Gymnasium" (Grammar School) I was at the top of my class for seven years; I enjoyed special privileges there, and had scarcely ever to be examined in class. Although we lived in very limited circumstances, my father insisted that, in my choice of a profession, I should follow my own inclinations alone. Neither at that time, nor indeed in my later life, did I feel any particular predilection for the career of a doctor.

\* \* \* \* \*

When, in 1873, I first joined the University, I experienced some appreciable disappointments. Above all, I found that I was expected to feel myself inferior and an alien because I was a Jew. I refused absolutely to do the first of these things.

\* \* \* \* \*

At length, in Ernst Brücke's physiological laboratory, I found rest and full satisfaction. . . . I worked at this Institute, with short interruptions, from 1876 to 1882, and it was generally thought that I was marked out to

<sup>1</sup>*An Autobiographical Study*, trans. James Strachey (New York: Norton, 1952), from pp. 13-139.

fill the next post of Assistant that might fall vacant there. The various branches of medicine proper, apart from psychiatry, had no attraction for me. I was decidedly negligent in pursuing my medical studies, and it was not until 1881 that I took my somewhat belated degree as a Doctor of Medicine.

The turning point came in 1882, when my teacher, for whom I felt the highest possible esteem, corrected my father's generous improvidence by strongly advising me, in view of my bad financial position, to abandon my theoretical career. I followed his advice, left the physiological laboratory and entered the General Hospital as an *Aspirant* (Clinical Assistant). I was soon afterwards promoted to being a *Sekundararzt* (Junior or House Physician), and worked in various departments of the hospital, among others for more than six months under Meynert, by whose work and personality I had been greatly struck while I was still a student.

\* \* \* \* \*

In the distance shone the great name of Charcot; so I formed a plan of first obtaining an appointment as University Lecturer (*Dozent*) on Nervous Diseases in Vienna and of then going to Paris to continue my studies. . . . In the spring of 1885 I was appointed Lecturer (*Dozent*) in Neuropathology on the ground of my histological and clinical publications. Soon afterwards, as the result of a warm testimonial from Brücke, I was awarded a Travelling Bursary of considerable value (\$250.00). In the autumn of the same year I made the journey to Paris. I became a student at the Salpêtrière. . . . Before leaving Paris I discussed with the great man (Charcot) a plan for a comparative study of hysterical and organic paralyses.

\* \* \* \* \*

I will now return to the year 1886, the time of my settling down in Vienna as a specialist in nervous diseases. The duty devolved upon me of giving a report before the "Gesellschaft der Aerzte" [Society of Medicine] upon what I had seen and learnt with Charcot. But I met with a bad reception.

\* \* \* \* \*

While I was still working in Brücke's laboratory I had made the acquaintance of Dr. Josef Breuer, who was one of the most respected family physicians in Vienna. . . . Breuer had told me about a case of hysteria which, between 1880 and 1882, he had treated in a peculiar manner which had allowed him to penetrate deeply into the causation and significance of hysterical symptoms. This was at a time, therefore, when Janet's works still belonged to the future. . . . In 1893 we issued a preliminary communication. "On the Psychical Mechanism of Hysteri-

cal Phenomena,” and in 1895 there followed our book, *Studies on Hysteria*.

\* \* \* \* \*

For more than ten years after my separation from Breuer I had no followers. I was completely isolated. In Vienna I was shunned; abroad no notice was taken of me. My *Interpretation of Dreams*, published in 1900, was scarcely reviewed in the technical journals.

\* \* \* \* \*

In 1909 G. Stanley Hall invited Jung and me to America to go to Clark University, Worcester, Mass., of which he was President, and spend a week giving lectures (in German) at the celebration of that body's foundation. . . . In Europe during the years 1911-1913 two secessionist movements from psycho-analysis took place, led by men who previously played a considerable part in the young science, Alfred Adler and C. G. Jung. Both movements seemed most threatening and quickly obtained a large following. . . . The history of psycho-analysis falls from my point of view into two phases: In the first of these I stood alone and had to do all the work myself: this was from 1895-6 until 1906 or 1907. In the second phase, lasting from then until the present time, the contributions of my pupils and collaborators have been growing more and more in importance, so that to-day, when a grave illness warns me of the approaching end, I can think with a quiet mind of the cessations of my own labours. . . . And here I may be allowed to break off these autobiographical notes. The public has no claim to learn any more of my personal affairs. . . .<sup>2</sup>

### TOPOGRAPHY OF THE MIND (CONSCIOUS, PRECONSCIOUS, UNCONSCIOUS)<sup>3</sup>

The state in which the ideas existed before being made conscious is called by us *repression*, and we assert that the force which instituted the repression and maintains it is perceived as *resistance* during the work of analysis. Thus we obtain our concept of the unconscious from the theory of repression. The repressed is the prototype of the unconscious for us. We see however, that we have two kinds of unconscious—the one which is latent but capable of becoming conscious, and the one which is repressed and which is not, in itself and without more ado, capable of

<sup>2</sup>The illness of which Freud speaks, cancer of the jaw, culminated in his death at London, Sept. 23, 1939.

<sup>3</sup>*The Ego and the Id*, trans. James Strachey (New York: Norton, 1961), from pp. 4-10.

becoming conscious. The piece of insight into psychical dynamics cannot fail to affect terminology and description. The latent, which is unconscious only descriptively, not in the dynamic sense, we call *preconscious*; we restrict the term *unconscious* to the dynamically unconscious repressed; so that now we have three terms, conscious (*Cs.*) *preconscious* (*Pcs.*), and unconscious (*Ucs.*), whose sense is no longer purely descriptive. The *Pcs.* is presumably a great deal closer to the *Cs.* than is the *Ucs.*, and since we have called the *Ucs.* psychical we shall with even less hesitation call the latent *Pcs.* psychical. But why do we not rather, instead of this, remain in agreement with the philosophers and, in a consistent way, distinguish the *Pcs.* as well as the *Ucs.* from the conscious psychical? The philosophers would then propose that the *Pcs.* and the *Ucs.* should be described as two species or stages of the "psychoid," and harmony would be established. But endless difficulties in exposition would follow; and the one important fact, that these two kinds of "psychoid" coincide in almost every other respect with what is admittedly psychical, would be forced into the background in the interests of a prejudice dating from a period in which these psychoids, or the most important part of them, were still unknown.

We can now play about comfortably with our three terms, *Cs.*, *Pcs.*, and *Ucs.*, so long as we do not forget that in the descriptive sense there are two kinds of unconscious, but in the dynamic sense only one.

\* \* \* \* \*

For our conception of the unconscious, however, the consequences of our discovery are even more important. Dynamic considerations caused us to make our first correction; our insight into the structure of the mind leads to the second. We recognize that the *Ucs.* does not coincide with the repressed; it is still true that all that is repressed is *Ucs.*, but not all that is *Ucs.* is repressed. A part of the ego, too — and Heaven knows how important a part — may be *Ucs.*, and undoubtedly is *Ucs.* And this *Ucs.* belonging to the ego is not latent like the *Pcs.*; for if it were, it would not be activated without becoming *Cs.*, and the process of making it conscious would not encounter such great difficulties. When we find ourselves thus confronted by the necessity of postulating a third *Ucs.*, which is not repressed, we must admit that the characteristic of being unconscious begins to lose significance for us. It becomes a quality which can have many meanings, a quality which we are unable to make, as we should have hoped to do, the basis of far-reaching and inevitable conclusions. Nevertheless we must beware of ignoring this characteristic, for the property of being conscious or not is in the last resort our one beacon-light in the darkness of depth-psychology.

\* \* \* \* \*

All perceptions which are received from without (sense-perceptions) and from within — what we call sensations and feelings — are *Cs.* from the

start. But what about those internal processes which we may—roughly and inexactly—sum up under the name of thought processes? They represent displacements of mental energy which are effected somewhere in the interior of the apparatus as this energy proceeds on its way towards action. Do they advance to the surface, which causes consciousness to be generated? Or does consciousness make its way to them? This is clearly one of the difficulties that arise when one begins to take the spatial or “topographical” idea of mental life seriously. Both of these possibilities are equally unimaginable; there must be a third alternative.

I have already, in another place, suggested that the real difference between an *Ucs.* and a *Pcs.* idea (thought) consists in this: that the former is carried out on some material which remains unknown, whereas the latter (the *Pcs.*) is in addition brought into connection with word-presentations. This is the first attempt to indicate distinguishing marks for the two systems, the *Pcs.* and the *Ucs.*, other than their relation to consciousness. The question, “How does a thing become conscious?” would thus be more advantageously stated: “How does a thing become preconscious?” And the answer would be: “Through becoming connected with the word-presentations corresponding to it.”

These word-presentations are residues of memories; they were at one time perceptions, and like all mnemonic residues they can become conscious again. . . . It dawns upon us like a new discovery that only something which has once been a *Cs.* perception can become conscious, and that anything arising from within (apart from feelings) that seeks to become conscious must try to transform itself into external perceptions: this becomes possible by means of memory-traces.

## THE STRUCTURE OF PERSONALITY (ID, EGO, SUPEREGO)<sup>4</sup>

We have arrived at our knowledge of this psychical apparatus by studying the individual development of human beings. To the oldest of these mental provinces or agencies we give the name of *id*. It contains everything that is inherited, that is present at birth, that is fixed in the constitution—above all, therefore, the instincts, which originate in the somatic organization and which find their first mental expression in the *id* in forms unknown to us.

Under the influence of the real external world which surrounds us, one portion of the *id* has undergone a special development. From what was originally a cortical layer, provided with organs for receiving stimuli

<sup>4</sup>*An Outline of Psychoanalysis*, trans. James Strachey (New York: Norton, 1949), from pp. 14-123.

and with apparatus for protection against excessive stimulation, a special organization has arisen which henceforward acts as an intermediary between the id and the external world. This region of our mental life has been given the name of *ego*.

The principal characteristics of the ego are these. In consequence of the relation which was already established between sensory perception and muscular action, the ego is in control of voluntary movement. It has the task of self-preservation. As regards *external* events, it performs that task by becoming aware of the stimuli from without, by storing up experiences of them (in the memory), by avoiding excessive stimuli (through flight), by dealing with moderate stimuli (through adaptation) and, finally, by learning to bring about appropriate modifications in the external world to its own advantage (through activity). As regards *internal* events, in relation to the id, it performs that task by gaining control over the demands of the instincts, by deciding whether they shall be allowed to obtain satisfaction, by postponing that satisfaction to times and circumstances favorable in the external world or by suppressing their excitations completely. Its activities are governed by consideration of the tensions produced by stimuli present within it or introduced into it. The raising of these tensions is in general felt as *unpleasure* and their lowering as *pleasure*. It is probable, however, that what is felt as pleasure or unpleasure is not the *absolute* degree of the tensions but something in the rhythm of their changes. The ego pursues pleasure and seeks to avoid unpleasure. An increase in unpleasure which is expected and foreseen is met by a *signal of anxiety*; the occasion of this increase, whether it threatens from without or within, is called a *danger*. From time to time the ego gives up its connection with the external world and withdraws into the state of sleep, in which its organization undergoes far-reaching changes. It may be inferred from the state of sleep that that organization consists in a particular distribution of mental energy.

The long period of childhood, during which the growing human being lives in dependence upon his parents, leaves behind it a precipitate, which forms within his ego a special agency in which this parental influence is prolonged. It has received the name of *superego*. In so far as the superego is differentiated from the ego or opposed to it, it constitutes a third force which the ego must take into account.

Thus, an action by the ego is as it should be if it satisfies simultaneously the demand of the id, of the superego and of reality, that is to say if it is able to reconcile their demands with one another. The details of the relationship between the ego and the superego become completely intelligible if they are carried back to the child's attitude toward his parents. The parents' influence naturally includes not merely the personalities of the parents themselves but also the racial, national, and family traditions handed on through them as well as the demands of the immediate social *milieu* which they represent. In the same way, an

individual's superego in the course of his development takes over contributions from late successors and substitutes of his parents, such as teachers, admired figures in public life, or high social ideals. It will be seen that, in spite of their fundamental difference, the id and the superego have one thing in common: they both represent the influences of the past (the id the influence of heredity, the superego essentially the influence of what is taken over from other people), whereas the ego is principally determined by the individual's own experience, that is to say by accidental and current events.

\* \* \* \* \*

## Id

The core of our being, then, is formed by the obscure *id*, which has no direct relations with the external world and is accessible even to our own knowledge only through the medium of another agency of the mind. Within this id the organic *instincts* operate, which are themselves composed of fusions of two primal forces (Eros and destructiveness) in varying proportions and are differentiated from one another by their relation to organs or systems of organs. The one and only endeavor of these instincts is toward satisfaction, which it is hoped to obtain from certain modifications in the organs by the help of objects in the external world. But an immediate and regardless satisfaction of instinct, such as the id demands, would often enough lead to perilous conflicts with the external world and to extinction. The id knows no precautions to ensure survival and no anxiety; or it would perhaps be more correct to say that, though it can produce the sensory elements of anxiety, it cannot make use of them. The processes which are possible in and between the assumed mental elements in the id (the *primary process*) differ largely from those which are familiar to us by conscious perception in our intellectual and emotional life; nor are they subject to the critical restrictions of logic, which repudiates some of these processes as invalid and seeks to undo them.

The id, which is cut off from the external world, has its own world of perception. It detects with extraordinary clarity certain changes in its interior, especially oscillations in the tension of its instinctual needs, especially oscillations which become conscious as feelings in the pleasure-unpleasure series. It is, to be sure, hard to say by what means and with the help of what sensory terminal organs these perceptions come about. But it remains certain that self-perceptions—coenesthetic feelings and feelings of pleasure-unpleasure—govern events in the id with despotic force. The id obeys the inexorable pleasure principle. But not the id alone. It seems as though the activity of the other agencies of the mind is able only to modify the pleasure principle but not to nullify it; and it remains a question of the greatest theoretical importance, and one

that has not yet been answered, when and how it is ever possible for the pleasure principle to be overcome. The consideration that the pleasure principle requires a reduction, or perhaps ultimately the extinction, of the tension of the instinctual needs (that is, a state of *Nirvana*) leads to problems that are still unexamined in the relations between the pleasure principle and the two primal forces, Eros and the death instinct.

### Ego

The other agency of the mind, which we appear to know the best and in which we recognize ourselves the most easily — what is known as the *ego* — was developed out of the cortical layer of the id, which, being adapted for the reception and exclusion of stimuli, is in direct contact with the external world. Starting from conscious perception, it has brought under its influence ever larger regions and ever deeper layers of the id; and, in the persistence with which it maintains its dependence upon the external world, it bears the incredible stamp of its origin (as it might be “Made in Germany”). Its psychological function consists in raising the processes in the id to a higher dynamic level (perhaps by transforming freely mobile into bound energy, such as corresponds to the preconscious condition); its constructive function consists in interposing, between the demand made by an instinct and the action that satisfies it, an intellectual activity which, after considering the present state of things and weighing up earlier experiences, endeavors by means of experimental actions to calculate the consequences of the proposed line of conduct. In this way the ego comes to a decision whether the attempt to obtain satisfaction is to be carried out or postponed or whether it may not be necessary for the demand of the instinct to be altogether suppressed as being dangerous. (Here we have the *reality principle*.) Just as the id is directed exclusively to obtaining pleasure, so the ego is governed by considerations of safety. The ego has set itself the task of self-preservation, which the id appears to neglect. It makes use of sensations of anxiety as a signal to give a warning of dangers threatening its integrity. Since memory-traces can become conscious just as much as perceptions, especially through their association with verbal residues, the possibility arises of a confusion which would lead to a mistaking of reality. The ego guards itself by establishing a function for *reality-testing*, which can be allowed to fall into abeyance in dreams on account of the conditions governing the state of sleep. In its efforts to preserve itself in an environment of overwhelming mechanical forces, the ego is threatened with dangers that come in the first instance from external reality, but not from there alone. Its own id is a source of similar dangers and that for two different reasons. In the first place, an excessive strength of instinct can damage the ego in the same way as an excessive “stimulus” from the external world. It is true that such an excess cannot destroy it; but it *can* destroy its characteristic dynamic



organization, it can turn the ego back into a portion of the id. In the second place, experience may have taught the ego that the satisfaction of some instinctual demand that is not in itself unbearable would involve dangers in the external world, so that an instinctual demand of that kind itself becomes a danger. Thus the ego is fighting on two fronts: it has to defend its existence both against the external world that threatens it with annihilation and against an internal world that makes excessive demands. It adopts the same methods of protection against both, but its defense against the internal foe is particularly inadequate. As a result of having been originally identical with this enemy and of having since lived with it upon the most intimate terms, the ego has the greatest difficulty in escaping from the internal dangers. They persist as threats, even if they can be temporarily held in check.

\* \* \* \* \*

### Superego

A portion of the external world has, at least partially, been given up as an object and instead, by means of identification, taken into the ego — that is, has become an integral part of the internal world. This new mental agency continues to carry on the functions which have hitherto been performed by the corresponding people in the external world: it observes the ego, gives it orders, corrects it and threatens it with punishments, exactly like parents whose place it has taken. We call this agency the *superego* and are aware of it, in its judicial functions, as our *conscience*. It is a remarkable thing that the superego often develops a severity for which no example has been provided by the real parents, and further that it calls the ego to task not only on account of its deeds but just as much on account of its thoughts and unexecuted intentions, of which it seems to have knowledge. We are reminded that the hero of the Oedipus legend too felt guilty for his actions and punished himself, although the compulsion of the oracle should have made him innocent in our judgment and in his own. The superego is in fact the heir to the Oedipus complex and only arises after that complex has been disposed of. For that reason its excessive severity does not follow a real prototype but corresponds to the strength which is used in fending off the temptation of the Oedipus complex.

\* \* \* \* \*

So long as the ego works in complete agreement with the superego, it is not easy to distinguish between their manifestations; but tensions and estrangements between them become very plainly visible. The torments caused by the reproaches of conscience correspond precisely to a child's dread of losing his parents' love, a dread which has been

replaced in him by the moral agency. On the other hand, if the ego has successfully resisted a temptation to do something that would be objectionable to the superego, it feels its self-respect raised and its pride increased, as though it had made some precious acquisition. In this way the superego continues to act the role of an external world toward the ego, although it has become part of the internal world. During the whole of man's later life it represents the influence of childhood, of the care and education given to him by his parents, of his dependence on them—the childhood which is so greatly prolonged in human beings by a common family life. And in all of this time what is operating is not only the personal qualities of these parents but also everything that produced a determining effect upon them themselves, the tastes and standards of the social class in which they live and the characteristics and traditions of the race from which they spring. Those who have a liking for generalizations and sharp distinctions may say that the external world, in which the individual finds himself exposed after being detached from his parents, represents the power of the present; that his id, with its inherited trends, represents the organic past; and that the superego, which comes to join them later, represents more than anything the cultural past, an after-experience of which, as it were, the child has to pass through during the few years of his early life.

\* \* \* \* \*

## NEUROSIS AND PSYCHOSIS<sup>5</sup>

The essay referred to [*The Ego and the Id*] describes the various allegiances the ego owes, its mediate position between the outer world and the *id*, and its struggles to serve all its masters at one and the same time. Now it so happened that a train of thought suggested elsewhere, which had to do with the causes giving rise to the psychoses and with prevention of them, furnished me with a simple formula concerning what is perhaps the most important genetic difference between neurosis and psychosis: *Neurosis is the result of a conflict between the ego and its id, whereas psychosis is the analogous outcome of a similar disturbance in the relation between the ego and its environment (outer world).*

\* \* \* \* \*

All our analyses go to show that the transference neuroses originate from the ego's refusing to accept a powerful instinctual impulse existing

<sup>5</sup>*Neurosis and Psychosis*, trans. Joan Riviere, in vol. II, THE COLLECTED PAPERS OF SIGMUND FREUD, ed. Ernest Jones (New York: Basic Books, 1959), from pp. 250-54.

in its *id* and denying it motor discharge, or disputing the object towards which it is aimed. The ego then defends itself against the impulse by the mechanism of repression; the repressed impulse struggles against this fate, and finds ways which the ego cannot control to create for itself substitutive gratification (a symptom), which is forced upon the ego in the form of a compromise; the ego finds its unity menaced and injured by this interloper, pursues against the symptom the struggle it had formerly maintained against the original impulse, and all this together produces the clinical picture of a neurosis. It is no matter that in undertaking the repression the ego is at bottom following the dictates of its super-ego, which dictates originated in influences of the same kind from the real environment that subsequently found representation in the super-ego. The fact remains that the ego takes sides with these powers that be, that their demands are stronger in it than the claims of instinct from the *id*, and that the force which sets repression to work against that part of the *id* and fortifies it by the anti-cathexis of resistance is the ego. In the service of its super-ego and of reality the ego has come into conflict with its *id*, and this state of affairs is found in all the transference neuroses.

It is just as easy, on the other hand, from what we already know of the mechanism of the psychoses, to quote examples from them pointing to a disturbance in the relation between the ego and its environment. In Meynert's amentia, the acute hallucinatory confusion which is perhaps the most extreme and striking form of psychosis, the outer world is either not perceived in the very least or else any perception of it remains absolutely without effect. Normally, indeed, the outer world commands the ego in two ways: first, by current perceptions which it is constantly able to engender afresh, and secondly, by the store of memories of former perceptions which, as its "inner world," has become the possession and a constituent part of the ego. Now in amentia not only is acceptance denied to fresh perceptions, but the importance (cathexis) of the inner world—that inner world which formerly reflected the outer world as an image of it—is withdrawn too; the ego creates for itself in a lordly manner a new outer and inner world: and there is no doubt about two facts, that this new world is constructed after the pattern of the impulses in the *id*, and that the motive of this collapse of the ego's relation with the outer world is a severe frustration by reality of a wish, a frustration which seemed too unendurable to be borne. The close affinity of this psychosis with normal dreams is unmistakable. A pre-condition of dreaming, however, is a state of sleep, and complete abandonment of perceptive capacity and of the outer world is one of the features of sleep.

\* \* \* \* \*

There always remains as a common feature in the aetiology both of the psychoneuroses and the psychoses the factor of frustration—the

lack of fulfilment of one of those eternal uncontrollable childhood's wishes that are so deeply rooted in our composition, phylogenetically fore-ordained as it is. In the last resort this frustration is always an outer one; in the individual case it may proceed from that internal institution (in the super-ego) which has taken over the part played by the demands of reality. Now the pathogenic effect depends on whether, in the tension of such a conflict, the ego remains true in its allegiance to the outer world and endeavours to subjugate the *id*, or whether it allows itself to be overwhelmed by the *id* and thus torn away from reality. In this apparently simple situation, however, a complication is introduced by the existence of the super-ego, which, in some connection not yet clear to us, combines in itself influences from the *id* as well as from the outer world, and is to some extent an ideal prototype of that state towards which all the ego's endeavours are bending, a reconciliation of its manifold allegiances. The attitude of the super-ego should be taken into account, as has not hitherto been done, in all forms of mental disorder. For the moment, however, we can postulate that there must be diseases founded on a conflict between ego and super-ego. Analysis gives us the right to infer that melancholia is the model of this group, and then we should put in a claim for the name of "narcissistic psychoneuroses" for these disorders. It does not fit in badly with our impressions if we find reasons for distinguishing conditions such as melancholia from the other psychoses. We then observe, however, that we were able to complete our simple genetic formula without abandoning it. A transference neurosis corresponds to a conflict between ego and *id*, a narcissistic neurosis to that between ego and super-ego, and a psychosis to that between ego and outer world. To be sure, we can hardly say at a glance whether this really represents new knowledge or is merely an addition to our list of formulas; but I think that after all its capacity for application must give us courage to keep in mind this dissection of the mental apparatus that I have proposed, namely, into ego, super-ego and *id*.

The proposition that neuroses and psychoses originate in the ego's conflicts with the various powers ruling it, that is, that they correspond with a failure in the function of the ego, which after all is straining to reconcile all these different claims with one another, requires supplementing in a further point. One would like to know in what circumstances and by what means the ego succeeds in surviving such conflicts, which are undoubtedly always present, without falling ill. Now this is a new field for research in which the most various factors will certainly demand consideration. Two of them, however, can be indicated at once. The outcome of such situations will assuredly depend upon economic conditions, upon the relative strength of the forces striving with one another. And further, it is always possible for the ego to avoid a rupture in any of its relations by deforming itself, submitting to forfeit something of its unity, or in the long run even to being gashed and rent. Thus the

illogicalities, eccentricities and follies of mankind would fall into a category similar to their sexual perversions, for by accepting them they spare themselves repressions.

In conclusion there remains to be considered the question what that mechanism analogous to repression may be by which the ego severs itself from the outer world. This is not to be answered, in my opinion, without fresh investigations, but, like repression, the content of this mechanism must include a withdrawal of the cathexes emanating from the ego.

## THE EGO'S MECHANISMS OF DEFENCE

### Resistance and Repression<sup>6</sup>

When we undertake to cure a patient of his symptoms he opposes against us a vigorous and tenacious *resistance* throughout the entire course of the treatment. . . . The resistance shown by patients is highly varied and exceedingly subtle, often hard to recognize and protean in the manifold forms it takes; the analyst needs to be continually suspicious and on his guard against it. . . . We require the patient to put himself into a condition of calm self-observation, without trying to think of anything, and then to communicate everything which he becomes inwardly aware of, feelings, thoughts, remembrances, in the order in which they arise in his mind. We expressly warn him against giving way to any kind of motive which would cause him to select from or to exclude any of the ideas (associations), whether because they are too "disagreeable," or too "indiscreet" to be mentioned, or too "unimportant" or "irrelevant" or "nonsensical" to be worth saying. . . . We know from the technique of dream-interpretation that it is precisely those associations against which innumerable doubts and objections are raised that invariably contain the material leading to the discovery of the unconscious.

\* \* \* \* \*

Whenever we are on the point of bringing to his consciousness some piece of unconscious material which is particularly painful to him, then he is critical in the extreme; even though he may have previously understood and accepted a great deal, yet now all these gains seem to be obliterated: in his struggles to oppose at all costs he can behave just as though he were mentally deficient, a form of "emotional stupidity."

In what way can we now account for this fact observed, that the patient struggles so energetically against the relief of his symptoms and

<sup>6</sup>A *General Introduction to Psycho-Analysis*, trans. Joan Riviere (New York: Liveright, 1935), from pp. 253-62.

the restoration of his mental processes to normal functioning? We say that we have come upon the traces of powerful forces at work here opposing any change in the condition; they must be the same forces that originally induced the condition. In the formation of symptoms some process must have been gone through, which our experience in dispersing them makes us able to reconstruct. . . . It follows from the existence of a symptom that some mental process has not been carried through to an end in a normal manner so that it could become conscious; the symptom is a substitute for that which has not come through. Now we know where to place the forces which we suspect to be at work. A vehement effort must have been exercised to prevent the mental process in question from penetrating into consciousness and as a result it has remained unconscious; being unconscious it had the power to construct a symptom. The same vehement effort is again at work during analytic treatment, opposing the attempt to bring the unconscious into consciousness. This we perceive in the form of resistances. The pathogenic process which is demonstrated by the resistances we call **REPRESSION**.

It will now be necessary to make our conception of this process of *repression* more precise. . . . Let us take as a model an impulse, a mental process seeking to convert itself into action; we know that it can suffer rejection, by virtue of what we call "repudiation" or "condemnation"; whereupon the energy at its disposal is withdrawn, it becomes powerless, but it can continue to exist as a memory. The whole process of decision on the point takes place with the full cognizance of the ego. It is very different when we imagine the same impulse subject to *repression*: it would then retain its energy and no memory of it would be left behind; the process of repression, too, would be accomplished without the cognizance of the ego.

\* \* \* \* \*

The unconscious system may be compared to a large ante-room, in which the various mental excitations are crowding upon one another, like individual beings. Adjoining this is a second, smaller apartment, a sort of reception-room, in which consciousness resides. But on the threshold between the two there stands a personage with the office of door-keeper, who examines the various mental excitations, censors them, and denies them admittance to the reception-room when he disapproves of them. You will see at once that it does not make much difference whether the door-keeper turns any one impulse back at the threshold, or drives it out again once it has entered the reception-room; that is merely a matter of the degree of his vigilance and promptness in recognition. Now this metaphor may be employed to widen our terminology. The excitations in the unconscious, in the ante-chamber, are not visible to consciousness, which is of course in the other room, so to

begin with they remain unconscious. When they have pressed forward to the threshold and been turned back by the door-keeper, they are “*incapable of becoming conscious*”; we call them then *repressed*. But even those excitations which are allowed over the threshold do not necessarily become conscious; they can only become so if they succeed in attracting the eye of consciousness. This second chamber therefore may be suitably called *the preconscious system*. In this way the process of becoming conscious retains its purely descriptive sense. Being repressed, when applied to any single impulse, means being unable to pass out of the unconscious system because of the door-keeper’s refusal of admittance into the preconscious. The door-keeper is what we have learnt to know as resistance in our attempts in analytic treatment to loosen the repressions. . . . I should like to assure you that these crude hypotheses, the two chambers, the door-keeper on the threshold between the two, and consciousness as a spectator at the end of the second room, must indicate an extensive approximation to the actual reality. I should like to hear you admit that our designations, unconscious, preconscious, and conscious, are less prejudicial and more easily defensible than some others which have been suggested or have come into use, e.g. sub-conscious, inter-conscious, co-conscious, etc.

\* \* \* \* \*

The door-keeper between the unconscious and the preconscious is nothing else than the *censorship* to which we found the form of the manifest dream subjected. The residue of the day’s experiences which we found to be the stimuli exciting the dream, was preconscious material which at night during sleep had been influenced by unconscious and repressed wishes and excitations; and had thus by association with them been able to form the latent dream, by means of their energy. Under the dominion of the unconscious system this material had been elaborated (worked over)—by condensation and displacement—in a way which in normal mental life, i.e., in the preconscious system, is unknown or admissible very rarely. This difference in their manner of functioning is what distinguishes the two systems for us; the relationship to consciousness, which is a permanent feature of the preconscious, indicates to which of the systems any given process belongs. Neither is dreaming a pathological phenomenon: every healthy person may dream while asleep. Every inference concerning the constitution of both dreams and neurotic symptoms has an irrefutable claim to be regarded as applying also to normal mental life.

This is as much as we will say about repression. . . . Moreover, it is but a necessary preliminary condition, a prerequisite, of symptom-formation. We know that the symptom is a substitute for some other process which was held back by repression.

## Fixation and Regression<sup>7</sup>

Let me simply say that we consider it possible that single portions of every separate sexual impulse may remain in an early stage of development, although at the same time other portions of it may have reached their final goal. You will see from this that we conceive each such impulse as a current continuously flowing from the beginning of life and that we have divided its flow to some extent artificially into separate successive forward movements. Your impression that these conceptions require further elucidation is correct, but the attempt would lead us too far afield. We will, however, decide at this point to call this *arrest* in a component impulse at an early stage a **FIXATION** (of the impulse).

The second danger in a development by stages such as this we call **REGRESSION**; it also happens that those portions which have proceeded further may easily revert in a backward direction to these earlier stages. The impulse will find occasion to *regress* in this way when the exercise of its function in a later and more developed form meets with powerful external obstacles, which thus prevent it from attaining the goal of satisfaction. It is a short step to assume that fixation and regression are not independent of each other; the stronger the fixations in the path of development the more easily will the function yield before the external obstacles, by regressing on to those fixations; that is, the less capable of resistance against the external difficulties in its path will the developed function be. If you think of a migrating people who have left large numbers at the stopping-places on their way, you will see that the foremost will naturally fall back upon these positions when they are defeated or when they meet with an enemy too strong for them. And again, the more of their number they leave behind in their progress, the sooner will they be in danger of defeat.

\* \* \* \* \*

After what you have heard about the development of the libido you may anticipate two kinds of regression; a return to the first objects invested with libido, which we know to be incestuous in character, and a return of the whole sexual organization to earlier stages. . . . I think, however, that I had better warn you now above all not to confound *Regression* with *Repression* and that I must assist you to clear your minds about the relation between the two processes. *Repression*, as you will remember, is the process by which a mental act capable of becoming conscious (that is, one which belongs to the preconscious system) is made unconscious and forced back into the unconscious system. And we also call it *repression* when the unconscious mental act is not

<sup>7</sup>*Ibid.*, from pp. 298-301.



permitted to enter the adjacent preconscious system at all, but is turned back upon the threshold by the censorship. There is therefore no connection with sexuality in the concept “*repression*”; please mark this very carefully. It denotes a purely psychological process; and would be even better described as *topographical*, by which we mean that it has to do with the spatial relationships we assume within the mind, or, if we again abandon these crude aids to the formulation of theory, with the structure of the mental apparatus out of separate psychical systems.

The comparisons just now instituted showed us that hitherto we have not been using the word “*regression*” in its general sense but in a quite specific one. If you give it its general sense, that of a reversion from a higher to a lower stage of development in general, then repression also ranges itself under regression; for repression can also be described as reversion to an earlier and lower stage in the development of a mental act. Only, in repression this retrogressive direction is not a point of any moment to us; for we also call it repression in a dynamic sense when a mental process is arrested before it leaves the lower stage of the unconscious. Repression is thus a topographic-dynamic conception, while regression is a purely descriptive one. But what we have hitherto called “*regression*” and considered in its relation to fixation signified exclusively the return of *the libido* to its former halting-places in development, that is, something which is essentially quite different from repression and quite independent of it. Nor can we call repression of the libido a purely psychical process; neither do we know where to localize it in the mental apparatus; for though it may exert the most powerful influence upon mental life, the organic factor in it is nevertheless the most prominent.

\* \* \* \* \*

Regression of the libido without repression would never give rise to a neurosis, but would result in a perversion. You will see from this that repression is the process which distinguishes the neuroses particularly and by which they are best characterized.

\* \* \* \* \*

## Frustration and Sublimation<sup>8</sup>

People fall ill of a neurosis when the possibility of satisfaction for the libido is removed from them—they fall ill in consequence of a “frustration,” as I called it, therefore—and that their symptoms are actually substitutes for the missing satisfaction. This of course does not mean that every frustration in regard to libidinal satisfaction makes everyone

<sup>8</sup>*Ibid.*, from pp. 301-3.

who meets with it neurotic, but merely that in all cases of neurosis investigated the factor of frustration was demonstrable.

\* \* \* \* \*

Now in order to consider this proposition further we do not know whether to begin upon the nature of the frustration or the particular character of the person affected by it. The frustration is very rarely a comprehensive and absolute one; in order to have a pathogenic effect it would probably have to strike at the only form of satisfaction which that person desires, the only form of which he is capable. In general, there are very many ways by which it is possible to endure lack of libidinal satisfaction without falling ill. Above all we know of people who are able to take such abstinence upon themselves without injury; they are then not happy, they suffer from unsatisfied longing, but they do not become ill. We therefore have to conclude that the sexual impulse-excitations are exceptionally "plastic," if I may use the word. One of them can step in place of another; if satisfaction of one is denied in reality, satisfaction of another can offer full recompense. They are related to one another like a network of communicating canals filled with fluid, and this in spite of their subordination to the genital primacy, a condition which is not at all easily reduced to an image. Further, the component-instincts of sexuality, as well as the united sexual impulse which comprises them, show a great capacity to change their object, to exchange it for another—i.e., for one more easily attainable; this capacity for displacement and readiness to accept surrogates must produce a powerful counter-effect to the effect of a frustration. One amongst these processes serving as protection against illness arising from want has reached a particular significance in the development of culture. It consists in the abandonment, on the part of the sexual impulse, of an aim previously found either in the gratification of a component-impulse or in the gratification incidental to reproduction, and the adoption of a new aim—which new aim, though genetically related to the first, can no longer be regarded as sexual, but must be called social in character. We call this process **SUBLIMATION**, by which we subscribe to the general standard which estimates social aims above sexual (ultimately selfish) aims. Incidentally, sublimation is merely a special case of the connections existing between sexual impulses and other, asexual ones.

\* \* \* \* \*

Your impression now will be that we have reduced want of satisfaction to a factor of negligible proportions by the recognition of so many means of enduring it. But no; this is not so: it retains its pathogenic power. The means of dealing with it are not always sufficient. The measure of unsatisfied libido that the average human being can take

upon himself is limited. The plasticity and free mobility of the libido is not by any means retained to the full in any of us; and sublimation can never discharge more than a certain proportion of libido, apart from the fact that many people possess the capacity for sublimation only in a slight degree. The most important of these limitations is clearly that referring to the mobility of the libido, since it confines the individual to the attaining of aims and objects which are very few in number. Just remember that incomplete development of the libido leaves behind it very extensive (and sometimes numerous) libido-fixations upon earlier phases of organizations and types of object-choice, mostly incapable of satisfaction in reality; you will then recognize fixation of libido as the second powerful factor working together with frustration in the causation of illness. We may condense this schematically and say that libido-fixation represents the internal, predisposing factor, while frustration represents the external, accidental factor, in the aetiology of the neuroses.

\* \* \* \* \*

## Undoing and Isolation<sup>9</sup>

Obsessional neurosis presents such a vast multiplicity of phenomena that no efforts have yet succeeded in making a coherent synthesis of all its variations. . . . The overacute conflict between id and superego which has dominated the illness from the very beginning may assume such extensive proportions that the ego, unable to carry out its office of mediator, can undertake nothing which is not drawn into the sphere of that conflict.

In the course of these struggles we come across two activities of the ego which form symptoms and which deserve special attention because they are obviously surrogates of repression and therefore well calculated to illustrate its purpose and technique. The fact that such auxiliary and substitutive techniques emerge may argue that true repression has met with difficulties in its functioning. If one considers how much more the ego is the scene of action of symptom-formation in obsessional neurosis than it is in hysteria and with what tenacity the ego clings to its relations to reality and to consciousness, employing all its intellectual faculties to that end—and indeed how the very process of thinking becomes hypercathected and eroticized—then one may perhaps come to a better understanding of these variations of repression.

The two techniques I refer to are *undoing what has been done* and *isolating*. The first of these has a wide range of application and goes

<sup>9</sup>"Inhibitions, Symptoms and Anxiety," trans. James Strachey, in vol. XX. THE STANDARD EDITION OF THE COMPLETE PSYCHOLOGICAL WORKS OF SIGMUND FREUD, ed. James Strachey (London: Hogarth, 1952), from pp. 118-22.

back very far. It is, as it were, negative magic, and endeavours, by means of motor symbolism, to "blow away" not merely the *consequences* of some event (or experience or impression) but the event itself. I choose the term "blow away" advisedly, so as to remind the reader of the part played by this technique not only in neuroses but in magical acts, popular customs and religious ceremonies as well. In obsessional neurosis the technique of undoing what has been done is first met with in the "diphasic" symptoms, in which one action is cancelled out by a second, so that it is as though neither action had taken place, whereas, in reality, both have. This aim of undoing is the second underlying motive of obsessional ceremonials, the first being to take precautions in order to prevent the occurrence or reoccurrence of some particular event. The difference between the two is easily seen: the precautionary measures are rational, while trying to get rid of something by "making it not to have happened" is irrational and in the nature of magic. It is of course to be suspected that the latter is the earlier motive of the two and proceeds from the animistic attitude towards the environment. This endeavour to undo shades off into normal behaviour in the case in which a person decides to regard an event as not having happened. But whereas he will take no direct steps against the event, and will simply pay no further attention to it or its consequences, the neurotic person will try to make the past itself non-existent. He will try to repress it by motor means. The same purpose may perhaps account for the obsession for *repeating* which is so frequently met with in this neurosis and the carrying out of which serves a number of contradictory intentions at once. When anything has not happened in the desired way it is undone by being repeated in a different way; and thereupon all the motives that exist for lingering over such repetitions come into play as well. As the neurosis proceeds, we often find that the endeavour to undo a traumatic experience is a motive of first-rate importance in the formation of symptoms. We thus unexpectedly discover a new, motor technique of defence, or (as we may say in this case with less inaccuracy) of repression.

The second of these techniques which we are setting out to describe for the first time, that of isolation, is peculiar to obsessional neurosis. It, too, takes place in the motor sphere. When something unpleasant has happened to the subject or when he himself has done something which has a significance for his neurosis, he interpolates an interval during which nothing further must happen—during which he must perceive nothing and do nothing. This behaviour, which seems strange at first sight, is soon seen to have a relation to repression. We know that in hysteria it is possible to cause a traumatic experience to be overtaken by amnesia. In obsessional neurosis this can often not be achieved: the experience is not forgotten, but, instead, it is deprived of its affect, and

its associative connections are suppressed or interrupted so that it remains as though isolated and is not reproduced in the ordinary processes of thought. The effect of this isolation is the same as the effect of repression with amnesia. This technique, then, is reproduced in the isolations of obsessional neurosis; and it is at the same time given motor reinforcement for magical purposes. The elements that are held apart in this way are precisely those which belong together associatively. The motor isolation is meant to ensure an interruption of the connection in thought. The normal phenomenon of concentration provides a pretext for this kind of neurotic procedure: what seems to us important in the way of an impression or a piece of work must not be interfered with by the simultaneous claims of any other mental processes or activities. But even a normal person uses concentration to keep away not only what is irrelevant or unimportant, but, above all, what is unsuitable because it is contradictory. He is most disturbed by those elements which once belonged together but which have been torn apart in the course of his development — as, for instance, by manifestations of the ambivalence of his father-complex in his relation to God, or by impulses attached to his excretory organs in his emotions of love. Thus, in the normal course of things, the ego has a great deal of isolating work to do in its function of directing the current of thought. And, as we know, we are obliged, in carrying out our analytic technique, to train it to relinquish that function for the time being, eminently justified as it usually is.

We have all found by experience that it is especially difficult for an obsessional neurotic to carry out the fundamental rule of psychoanalysis. His ego is more watchful and makes sharper isolations, probably because of the high degree of tension due to conflict that exists between his super-ego and his id. While he is engaged in thinking, his ego has to keep off too much — the intrusion of unconscious phantasies and the manifestation of ambivalent trends. It must not relax, but is constantly prepared for a struggle. It fortifies this compulsion to concentrate and to isolate by the help of the magical acts of isolation which, in the form of symptoms, grow to be so noticeable and to have so much practical importance for the patient, but which are, of course, useless in themselves and are in the nature of ceremonials.

\* \* \* \* \*

Since obsessional neurosis begins by persecuting erotic touching and then, after regression has taken place, goes on to persecute touching in the guise of aggressiveness, it follows that nothing is so strongly proscribed in that illness as touching nor so well suited to become the central point of a system of prohibitions. But isolating is removing the possibility of contact; it is a method of withdrawing a thing from being touched in any way. And when a neurotic isolates an impression or an activity by

interpolating an interval, he is letting it be understood symbolically that he will not allow his thoughts about that impression or activity to come into associative contact with other thoughts.

\* \* \* \* \*

### Identification<sup>10</sup>

I cannot tell you as much as I could wish about the change from the parental function to the super-ego. . . . You will have to be satisfied with the following indications. The basis of the process is what we call an identification, that is to say, that one ego becomes like another, one which results in the first ego behaving itself in certain respects in the same way as the second; it imitates it, and as it were takes it into itself. This identification has been not inappropriately compared with the oral cannibalistic incorporation of another person. Identification is a very important kind of relationship with another person, probably the most primitive, and is not to be confused with object-choice. One can express the difference between them this way: when a boy identifies himself with his father, he wants to *be like* his father; when he makes him the object of his choice, he wants to *have* him, to possess him; in the first case his ego is altered on the model of his father, in the second case that is not necessary. Identification and object-choice are broadly speaking independent of each other; but one can identify oneself with a person, and alter one's ego accordingly, and take the same person as one's sexual object. It is said that this influencing of the ego by the sexual object takes place very often with women, and is characteristic of femininity. . . . It can be as easily observed in children as in adults, in normal as in sick persons. If one has lost a love-object or has had to give it up, one often compensates oneself by identifying oneself with it; one sets it up again inside one's ego, so that in this case object-choice regresses, as it were, to identification.

\* \* \* \* \*

Another thing that we must not forget is that the child values its parents differently at different periods of its life. At the time at which the Oedipus complex makes way for the super-ego, they seem to be splendid figures, but later on they lose a good deal of their prestige. Identifications take place with these later editions of the parents as well, and regularly provide important contributions to the formation of character; but these only affect the ego, they have no influence on the super-ego, which has been determined by the earliest parental imagos.

\* \* \* \* \*

<sup>10</sup>*New Introductory Lectures on Psycho-Analysis*, trans. W. J. H. Sprott (New York: Norton, 1933), from pp. 90-92.

## Projection<sup>11</sup>

The three layers or grades of jealousy may be described as (1) *competitive* or normal, (2) *projected*, and (3) *delusional* jealousy.

There is not much to be said from the analytic point of view about normal jealousy. . . . The jealousy of the second layer, *projected* jealousy, is derived in both men and women either from their own actual unfaithfulness in real life or from impulses towards it which have succumbed to repression. It is a matter of everyday experience that fidelity, especially that degree of it required in marriage, is only maintained in the face of continual temptations. Anyone who denies these temptations in himself will nevertheless feel their pressure so strongly that he will be glad enough to make use of an unconscious mechanism to alleviate his situation. He can obtain this alleviation—and, indeed, acquittal by his conscience—if he projects his own impulses to faithlessness on to the partner to whom he owes faith. This strong motive can then make use of the perceptual material which betrays unconscious impulses of the same kind in the partner, and the subject can justify himself with the reflection that the other is probably not much better than he is himself.<sup>a</sup>

\* \* \* \* \*

The jealousy that arises from such a projection has, it is true, an almost delusional character; it is, however, amenable to the analytic work of exposing the unconscious phantasies of the subject's own infidelity.

\* \* \* \* \*

## THE EGO'S THREE VARIETIES OF ANXIETY (OBJECTIVE, NEUROTIC, AND MORAL)<sup>12</sup>

Anxiety is an affective condition—that is to say, a combination of certain feelings of the pleasure-pain series with their corresponding efferent innervations, and a perception of them—but we asserted that anxiety is probably also the trace of a certain important event, taken over by inheritance, and therefore comparable to the ontogenetically acquired hysterical attack. . . . The first anxiety of all would thus have been a toxic one. We then started<sup>13</sup> from the distinction between objec-

<sup>11</sup>*Certain Neurotic Mechanisms in Jealousy, Paranoia and Homosexuality*, trans. Joan Riviere, in vol. II, COLLECTED PAPERS, *op. cit.*, from pp. 232-34.

<sup>a</sup>"I called my love false love; but what said he then?"

"If I court more women, you'll couch with more men." (*Othello*, IV, 3)

<sup>12</sup>*New Introductory Lectures*, *op. cit.*, from pp. 113-24.

<sup>13</sup>Throughout this section, Freud is recapitulating former lectures.

tive anxiety and neurotic anxiety, the former being what seems to us an intelligible reaction to danger—that is, to anticipated injury from without—and the latter altogether puzzling and, as it were, purposeless. In our analysis of objective anxiety we explained it as a condition of increased sensory attention and motor tension, which we called “*anxiety-preparedness*.” Out of this the anxiety-reaction arises. The anxiety-reaction may run one of two courses. Either the *anxiety-development*, the repetition of the old traumatic experience, is restricted to a signal, in which case the rest of the reaction can adapt itself to the new situation of danger, whether by flight or defence; or the old experience gets the upper hand, and the whole reaction exhausts itself in anxiety-development, in which case the affective state is paralysing and unadapted to the present situation.

We then turned our attention to neurotic anxiety, and pointed out that it could be observed in three forms. Firstly, we have free-floating, general apprehensiveness, ready to attach itself for the time being to any new possibility that may arise in the form of what we call expectant dread, as happens, for instance, in the typical anxiety-neurosis. Secondly, we find it firmly attached to certain ideas, in what are known as *phobias*, in which we can still recognise a connection with external danger, but cannot help regarding the anxiety felt towards it as enormously exaggerated. Thirdly and finally, we have anxiety as it occurs in hysteria and in other severe neuroses; this anxiety either accompanies symptoms or manifests itself independently, whether as an attack or as a condition which persists for some time, but always without having any visible justification in an external danger. We then ask ourselves two questions: “What are people afraid of when they have neurotic anxiety?” and “How can one bring this kind of anxiety into line with objective anxiety felt towards an external danger?”

... The most frequent cause of anxiety-neurosis is undischarged excitation. A libidinal excitation is aroused, but is not satisfied or used; in the place of this libido which has been diverted from its use, anxiety makes its appearance. This view found some support in certain almost universal phobias of small children. Many of these phobias are altogether enigmatic, but others, such as the fear of being left alone and the fear of unfamiliar people, can be definitely explained. Being left alone or seeing strange faces stirs up the child's longing for the familiar presence of its mother; it cannot control this libidinal excitation; it cannot keep it in a state of suspension, but turns it into anxiety. This anxiety in children, therefore, is not objective anxiety, but must be classed among the neurotic anxieties. Children's phobias, and the anxious expectation in anxiety-neurosis, serve as two examples of one way in which neurotic anxiety comes about; *i.e.* through direct transformation of libido.

\* \* \* \* \*



For it is to the process of repression that we attribute the appearance of anxiety in hysteria and other neuroses. We now believe that it is possible to give a fuller description of this process . . . if we separate the history of the idea that has to be repressed from that of the libido which is attached to it. It is the idea that undergoes repression and may be distorted so as to become unrecognizable; its associated affect is always turned into anxiety, regardless of its nature, whether, that is to say, it is aggression or love. Now it makes no essential difference on what grounds a given quantity of libido has become unusable, whether on account of the infantile weakness of the ego, as in the case of children's phobias, or on account of somatic processes in sexual life, as in the case of anxiety neuroses, or on account of repression, as in the case of hysteria. The two mechanisms which give rise to neurotic anxiety are therefore essentially the same.

While we were engaged in these investigations, we noticed a very important connection between anxiety-development and symptom-formation. It was that the two are interchangeable. The agoraphobic, for example, begins his illness with an attack of anxiety in the street. This is repeated every time he walks along the street again. He now develops a symptom—a street phobia—which can also be described as an inhibition or a functional restriction of the ego, and thus he preserves himself from anxiety attacks. One can observe the reverse process if one interferes with the formation of symptoms, as is possible, for instance, in the case of obsessive acts. If one prevents a patient from carrying out his washing ceremonial, he is thrown into an intolerable state of anxiety, against which his symptom has obviously protected him. And, indeed, it seems as though anxiety-development is the earlier and symptom-formation the later of the two, as though the symptom were created in order to prevent the outbreak of a state of anxiety. And it is in keeping with this that the first neuroses of childhood are phobias—conditions, that is to say, in which one sees quite clearly how what began as anxiety-development is later replaced by symptom-formation: one gets an impression that this circumstance affords the best starting-point from which to approach an understanding of neurotic anxiety. At the same time we succeeded in discovering the answer to the question of what it is that one fears in neurotic anxiety, and thus restoring the connection between neurotic anxiety and objective anxiety. What one fears is obviously one's own libido. The difference between this and objective anxiety lies in two points—that the danger is an internal instead of an external one, and that it is not consciously recognized.

In the case of phobias one can see clearly how this internal danger is transformed into an external one; how, that is to say, neurotic anxiety turns into apparent objective anxiety. Let us simplify a state of affairs which is often very complicated, and suppose that the agoraphobic is always afraid of his impulses in connection with temptations aroused in

SIGMUND FREUD

him by meeting people in the street. In his phobia he makes a displacement and is now afraid of an external situation. What he gains thereby is obvious; it is that he feels he can protect himself better in that way. One can rescue oneself from an external danger by flight, whereas to attempt to fly from an internal danger is a difficult undertaking.

... I expressed the opinion that, though these various results of our investigations did not actually contradict one another, they were nevertheless not entirely consistent. As an affective condition, anxiety is the reproduction of an old danger-threatening event; anxiety serves the purposes of self-preservation as being a signal of the presence of a new danger; it arises from libido that has become unusable for some reason or other, including the process of repression; it is replaced by symptom-formation, and thus, as it were, psychically bound; in all of this one feels that something is missing which would combine these fragments into a unity.

... The division of the mental personality into a super-ego, ego and id... has forced us to take up a new position with regard to the problem of anxiety. In assuming that the ego is the only seat of anxiety, we have taken up a new and secure position, from which many facts take on a new aspect. And when you come to think of it, it is difficult to see what sense there could be in speaking of an "anxiety of the id," or how we could ascribe a capacity for feeling anxiety to the super-ego. On the contrary, we have found a satisfactory confirmation of our theory in the fact that the three main varieties of anxiety — objective anxiety, neurotic anxiety and moral anxiety — can so easily be related to the three directions in which the ego is dependent, on the external world, on the id and on the super-ego. Our new position, too, has brought to the fore the function of anxiety as a signal indicating the presence of a danger-situation, a function with which we were already not unfamiliar. The question of the stuff out of which anxiety is made loses interest for us, and the relations between objective anxiety and neurotic anxiety are clarified and simplified in a surprising way. And, besides this, it is to be noticed that we now understand the apparently complicated cases of anxiety-formation better than we do those which seem to be simple.

We have recently investigated the manner in which anxiety comes about in certain phobias, which we class with anxiety-hysteria... I cannot tell you all the individual steps of an investigation of this kind; let it suffice to say that, to our astonishment, the result was the reverse of what we had expected. It is not the repression that creates the anxiety, but the anxiety is there first and creates the repression!

\* \* \* \* \*

We have discovered two new facts: first, that anxiety causes repression, and not the other way round as we used to think, and secondly, that frightening *instinctual* situations can in the last resort be traced back to

*external* situations of danger. Our next question will be: How can we picture the process of repression carried out under the influence of anxiety? I think this is what happens: the ego becomes aware that the satisfaction of some nascent instinctual demand would evoke one among the well-remembered danger-situations. This instinctual cathexis must, therefore, somehow or other be suppressed, removed, made powerless. We know that the ego succeeds in this task if it is strong, and if it has assimilated the impulse in question into its organization.

## THE LIBIDO THEORY (INSTINCTS AND THEIR SUBLIMATION)

### The Libido

Libido<sup>14</sup> is a term used in the theory of instincts for describing the dynamic manifestations of sexuality. . . .

The<sup>15</sup> power of the id expresses the true purpose of the individual organism's life. This consists in the satisfaction of its innate needs. No such purpose as that of keeping itself alive or of protecting itself from dangers by means of anxiety can be attributed to the id. That is the business of the ego, which is also concerned with discovering the most favorable and least perilous method of obtaining satisfaction, taking the external world into account. The superego may bring fresh needs to the fore, but its chief function remains the *limitation* of satisfactions.

The forces which we assume to exist behind the tensions caused by the needs of the id are called *instincts*. They represent the somatic demands upon mental life. Though they are the ultimate cause of all activity, they are by nature conservative; the state, whatever it may be, which a living thing has reached, gives rise to a tendency to re-establish that state so soon as it has been abandoned. It is possible to distinguish an indeterminate number of instincts and in common practice this is in fact done. For us, however, the important question arises whether we may not be able to derive all of these various instincts from a few fundamental ones. We have found that instincts can change their aim (by displacement) and also that they can replace one another—the energy of one instinct passing over to another. This latter process is still insufficiently understood. After long doubts and vacillations we have decided to assume the existence of only two basic instincts, *Eros* and *the destructive instinct*. (The contrast between the instincts of self-preservation and of the preservation of the species, as well as the

<sup>14</sup>"Two Encyclopaedia Articles," trans. James Strachey, in vol. V, COLLECTED PAPERS, *op. cit.*, p. 131.

<sup>15</sup>*An Outline of Psychoanalysis, op. cit.*, pp. 19-22.

contrast between ego-love and object-love, fall within the bounds of Eros.) The aim of the first of these basic instincts is to establish ever greater unities and to preserve them thus—in short, to bind together; the aim of the second, on the contrary, is to undo connections and so to destroy things. We may suppose that the final aim of the destructive instinct is to reduce living things to an inorganic state. For this reason we also call it the *death instinct*. If we suppose that living things appeared later than inanimate ones and arose out of them, then the death instinct agrees with the formula that we have stated, to the effect that instincts tend toward a return to an earlier state. We are unable to apply the formula to Eros (the love instinct). That would be to imply that living substance had once been a unity but had subsequently been torn apart and was now tending toward re-union.

In biological functions the two basic instincts work against each other or combine with each other. Thus, the act of eating is a destruction of the object with the final aim of incorporating it, and the sexual act is an act of aggression having as its purpose the most intimate union. This interaction of the two basic instincts with and against each other gives rise to the whole variegation of the phenomena of life. The analogy of our two basic instincts extends from the region of animate things to the pair of opposing forces—attraction and repulsion—which rule in the inorganic world.

Modifications in the proportions of the fusion between the instincts have the most noticeable results. A surplus of sexual aggressiveness will change a lover into a sexual murderer, while a sharp diminution in the aggressive factor will lead to shyness or impotence.

There can be no question of restricting one or the other of the basic instincts to a single region of the mind. They are necessarily present everywhere. We may picture an initial state of things by supposing that the whole available energy of Eros, to which we shall henceforward give the name of *libido*, is present in the as yet undifferentiated ego-id and serves to neutralize the destructive impulses which are simultaneously present. (There is no term analogous to “libido” for describing the energy of the destructive instinct.) It becomes relatively easy for us to follow the later vicissitudes of the libido; but this is more difficult with the destructive instinct.

\* \* \* \* \*

## Sexual Instincts and Ego Instincts

The<sup>16</sup> first sphere to be studied by psycho-analysis comprised what is known as the transference neuroses (hysteria and obsessional neuroses).

<sup>16</sup>“Two Encyclopaedia Articles,” *op. cit.*, from pp. 131-33.

It was found that their symptoms came about by sexual instinctive impulses being rejected (repressed) by the subject's personality (his ego) and then finding expression by circuitous paths through the unconscious. These facts could be met by drawing a contrast between the sexual instincts and ego instincts (*instincts of self-preservation*), which was in line with the popular saying that hunger and love are what make the world go round: libido was the manifestation of the force of love in the same sense as was hunger of the self-preservation instinct. The nature of the ego instincts remained for the time being undefined and, like all the other characteristics of the ego, inaccessible to analysis. There was no means of deciding whether, and if so what, qualitative differences were to be assumed to exist between the two classes of instincts.

\* \* \* \* \*

## Sublimation

What is described as the sexual instinct turns out to be of a highly composite nature and is liable to disintegrate once more into its component instincts. Each component instinct is unalterably characterized by its *source*, that is, by the region or zone of the body from which its excitation is derived. Each has furthermore as distinguishable features an *object* and an *aim*. The aim is always discharge accompanied by satisfaction, but it is capable of being changed from activity to passivity. The object is less closely attached to the instinct than was at first supposed: it is easily exchanged for another one, and, moreover, an instinct which had an external object can be turned round upon the subject's own self. The separate instincts can either remain independent of one another or—in what is still an inexplicable manner—can be combined and merged into one another to perform work in common. They are also able to replace one another and to transfer their libidinal cathexis to one another, so that the satisfaction of one instinct can take the place of the satisfaction of others. The most important vicissitude which an instinct can undergo seems to be *sublimation*. Here both object and aim are changed, so that what was originally a sexual instinct finds satisfaction in some achievement which is no longer sexual but has a higher social or ethical valuation. These different features do not as yet combine to form an integral picture.

## Narcissism

It was found that the pathogenic process in dementia praecox is the withdrawal of the libido from objects and its introduction into the ego, while the clamorous symptoms of the disease arise from the vain struggles of the libido to find a pathway back to objects. It thus turned out to be possible for object-libido to change into cathexis of the ego and

*vice versa*. Further reflection showed that this process must be presumed to occur on the largest scale and that the ego is to be regarded as a great reservoir of libido from which libido is sent out *to* objects and which is always ready to absorb libido flowing back *from* objects. Thus the instincts of self-preservation were also of a libidinal nature: they were sexual instincts which, instead of external objects, had taken the subject's own ego as an object. Clinical experience had made us familiar with people who behaved in a striking fashion as though they were in love with themselves and this perversion had been given the name of narcissism. The libido of the self-preservative instincts was now described as *narcissistic libido* and it was recognized that a high degree of this self-love constituted the primary normal state of things. The earlier formula laid down for the transference neuroses consequently required to be modified, though not corrected. It was better, instead of speaking of a conflict between sexual instincts and ego instincts, to speak of a conflict between object-libido and ego-libido, or, object-cathexes and the ego.

\* \* \* \* \*

### **The Two Classes of Instincts: Eros and Thanatos**

I<sup>17</sup> have lately developed a view of the instincts. . . . According to this view we have to distinguish two classes of instincts, one of which, the sexual instincts or Eros, is by far the more conspicuous and accessible to study. It comprises not merely the uninhibited sexual instinct proper and the instinctual impulses of an aim-inhibited or sublimated nature derived from it, but also the self-preservative instinct, which must be assigned to the ego and which at the beginning of our analytic work we had good reason for contrasting with the sexual object-instincts. The second class of instincts was not so easy to point to: in the end we came to recognize sadism as its representative. On the basis of theoretical considerations, supported by biology, we put forward the hypothesis of a death instinct, the task of which is to lead organic life back into the inanimate state; on the other hand, we supposed that Eros, by bringing about a more and more far-reaching combination of the particles into which living substance is dispersed, aims at complicating life and at the same time, of course, at preserving it. Acting in this way, both the instincts would be conservative in the strictest sense of the word, since both would be endeavoring to re-establish a state of things that was disturbed by the emergence of life. The emergence of life would thus be the cause of the continuance of life and also at the same time of the striving towards death; and life itself would be a conflict and com-

<sup>17</sup>*The Ego and the Id, op. cit.*, from pp. 30-35.

promise between these two trends. The problem of the origin of life would remain a cosmological one; and the problem of the goal and purpose of life would be answered dualistically.

\* \* \* \* \*

### The Bipolarity or Ambivalency of Emotions

For the opposition between the two classes of instincts we may put the polarity of love and hate. There is no difficulty in finding a representative of Eros; but we must be grateful that we can find a representative of the elusive death instinct in the instinct of destruction, to which hate points the way. Now, clinical observation shows not only that love is with unexpected regularity accompanied by hate (ambivalence), and not only that in human relationships hate is frequently a forerunner of love, but also that in a number of circumstances hate changes into love and love into hate. If this change is more than a mere succession in time — if, that is, one of them actually turns into the other — then clearly the ground is cut away from under a distinction so fundamental as that between erotic instincts and death instincts, one which presupposes physiological processes running in opposite directions.

... We know of several instances in the psychology of the neuroses in which it is more plausible to suppose that a transformation does take place. In persecutory paranoia the patient fends off an excessively strong homosexual attachment to some particular person in a special way; and as a result this person whom he loved most becomes a persecutor, against whom the patient directs an often dangerous aggressiveness. Here we have the right to interpolate a previous phase which has transformed the love into hate.

\* \* \* \* \*

It will be noticed, however, that by introducing this other mechanism of changing love into hate, we have tacitly made another assumption which deserves to be stated explicitly. We have reckoned as though there existed in the mind — whether in the ego or in the id — a displaceable energy, which, neutral in itself, can be added to a qualitatively differentiated erotic or destructive impulse, and augment its total cathexis. . . . I am putting forward a hypothesis; I have no proof to offer. It seems a plausible view that this displaceable and neutral energy, which is no doubt active both in the ego and in the id, proceeds from the narcissistic store of libido — that it is desexualized Eros. (The erotic instincts appear to be altogether more plastic, more readily diverted and displaced than the destructive instincts.) . . . If this displaceable energy is desexualized libido, it may also be described as *sublimated* energy; for it would still retain the main purpose of Eros — that of uniting and binding — in so far as it helps towards establishing the unity, or tendency

to unity, which is particularly characteristic of the ego. If thought-processes in the wider sense are to be included among these displacements, then the activity of thinking is also supplied from the sublimation of erotic motive forces. Here we arrive again at the possibility which has already been discussed that sublimation may take place regularly through the mediation of the ego.

### The Nature of Instincts

This<sup>18</sup> view would enable us to characterize instincts as tendencies in living substance towards restoring an earlier state of things: that is to say, they would be historically determined and of a conservative nature and, as it were, the expression of an inertia or elasticity present in what is organic. Both classes of instincts, Eros as well as the death instinct, would, on this view, have been in operation and working against each other from the first origin of life.

\* \* \* \* \*

We<sup>19</sup> are now in a position to discuss certain terms which are used in reference to the concept of an instinct—for example, its “pressure,” “aim,” its “object” and its “source.”

By the pressure (*Drang*) of an instinct we understand its motor factor, the amount of force or the measure of the demand for work which it represents. The characteristic of exercising pressure is common to all instincts: it is in fact their very essence. Every instinct is a piece of activity; if we speak loosely of passive instincts, we can only mean instincts whose *aim* is passive.

The aim (*Ziel*) of an instinct is in every instance satisfaction, which can only be obtained by removing the state of stimulation at the source of the instinct. But although the ultimate aim of each instinct remains unchangeable, there may yet be different paths leading to the same ultimate aim; so that an instinct may be found to have various nearer or intermediate aims, which are combined or interchanged with one another. Experience permits us also to speak of instincts which are “inhibited in their aim,” in the case of processes which are allowed to make some advance towards instinctual satisfaction but are then inhibited and deflected. We may suppose that even processes of this kind involve a partial satisfaction.

The object (*Objekt*) of an instinct is the thing in regard to which or through which the instinct is able to achieve its aim. It is what is most variable about an instinct and is not originally connected with it, but becomes assigned to it only in consequence of being peculiarly fitted to

<sup>18</sup>“Two Encyclopaedia Articles,” *op. cit.*, p. 135.

<sup>19</sup>“Instincts and Their Vicissitudes,” trans. James Strachey, in vol. XIV (1957), STANDARD EDITION, *op. cit.*, from pp. 122-23.



make satisfaction possible. The object is not necessarily something extraneous: it may equally well be a part of the subject's own body. It may be changed any number of times in the course of the vicissitudes which the instinct undergoes during its existence; and highly important parts are played by this displacement of instinct. It may happen that the same object serves for the satisfaction of several instincts simultaneously, a phenomenon which Adler called a "confluence" of instincts. A particularly close attachment of the instinct to its object is distinguished by the term "fixation." This frequently occurs at very early periods of the development of an instinct and puts an end to its mobility through its intense opposition to detachment.

By the source (*Quelle*) of an instinct is meant the somatic process which occurs in an organ or part of the body and whose stimulus is represented in mental life by an instinct. We do not know whether this process is invariably of a chemical nature or whether it may also correspond to the release of other, e.g. mechanical, forces. The study of the sources of instincts lies outside the scope of psychology. Although instincts are wholly determined by their origin in a somatic source, in mental life we know them only by their aims. An exact knowledge of the sources of an instinct is not invariably necessary for purposes of psychological investigation; sometimes its source may be inferred from its aim.

\* \* \* \* \*

### STAGES OF PERSONALITY (LIBIDINAL) DEVELOPMENT

From<sup>20</sup> the third year onwards there is no longer any doubt about the sexual life in the child; at this period the genital organs begin to show signs of excitation; there is a perhaps regular period of infantile masturbation, that is, of gratification in the genital organs. The mental and social sides of sexual life need no longer be overlooked: choice of object, distinguishing of particular persons with affection, even decision in favor of one sex or the other, and jealousy, were conclusively established independently by impartial observation before the time of psychoanalysis; they may be confirmed by any observer who will use his eyes. You will object that you never doubted the early awakening of affection but only that this affection was of a "sexual" quality. Children between the ages of three and eight have certainly learnt to conceal this element in it; but nevertheless if you will look attentively you will collect enough evidence of the "sensual" nature of this affection, and whatever still escapes your notice will be amply and readily supplied by analytic

<sup>20</sup>A *General Introduction*, *op. cit.*, p. 286.

investigation. The sexual aims of this period of life are in closest connection with the sexual curiosity arising at the same time. . . . The perverse character of some of these aims is a natural result of the immature constitution of the child who has not yet discovered the aim of the act of intercourse.

\* \* \* \* \*

We<sup>21</sup> find ourselves on firmer ground when we turn to the question of how the instinctual life serves the sexual function. Here we have obtained decisive information; but you are already familiar with it. We do not, that is to say, believe that there is a single sexual instinct, which is from the first the vehicle of the impulse towards the aim of the sexual function, that is, the union of the two sex cells. On the contrary, we see a large number of component instincts, arising from various regions of the body, which strive for satisfaction more or less independently of one another, and find this satisfaction in something that may be called "*organ-pleasure*." The genitals are the latest of these *erotogenic zones*; and their organ-pleasure must certainly be called "sexual." Not all of these pleasure-seeking impulses are incorporated in the final organisation of the sexual function. Many of them are put aside as useless, by means of repression or in some other way. . . . You have heard that in this long-drawn-out course of development several phases of provisional organisation are to be recognized, and that aberrations and maldevelopments of the sexual function are to be explained by reference to its history. The first of these *pregenital* phases is called the *oral* phase, because, in accordance with the fact that the infant is nourished through the mouth, the erotogenic zone of the mouth dominates what we may call the sexual activity of this period of life. At a second stage the *sadistic* and *anal* impulses come to the fore, obviously in connection with the cutting of the teeth, the strengthening of the musculature, and the control of the sphincters. We have learnt a great many interesting details about this remarkable stage of development in particular. Third comes the *phallic* phase, in which for both sexes the penis (and what corresponds to it in the girl) achieves an importance which can no longer be overlooked. We have reserved the name of *genital* phase for the final sexual organisation, established after puberty, in which the female genitals receive for the first time the recognition which the male genitals have long since obtained.

\* \* \* \* \*

From<sup>22</sup> about the sixth or eighth year onwards a standstill or retrogression is observed in the sexual development, which in those cases reaching a high cultural standard deserves to be called a *latency period*.

<sup>21</sup>New Introductory Lectures, *op. cit.*, from pp. 134-36.

<sup>22</sup>A General Introduction, *op. cit.*, from pp. 286-96.

This latency period, however, may be absent; nor does it necessarily entail an interruption of sexual activities and sexual interests over the whole field. Most of the mental experiences and excitations occurring before the latency period then succumb to the infantile amnesia . . . which veils our earliest childhood from us and estranges us from it.

\* \* \* \* \*

## The Oedipus Complex

From the third year onwards the sexual life of children shows much in common with that of adults; it is differentiated from the latter . . . by the absence of a stable organization under the primacy of the genital organs, by inevitable traits of a perverse order, and of course also by far less intensity in the whole impulse. But those phases of the sexual development, or as we will call it, of the *libido-development*, which are of greatest interest theoretically lie before this period. This development is gone through so rapidly that direct observation alone would perhaps never have succeeded in determining its fleeting forms.

\* \* \* \* \*

We will follow up another aspect of this development — namely, the relation of the sexual component-impulses to an object. . . . Certain of the component-impulses of the sexual instinct have an object from the very beginning and hold fast to it: such are the impulses to mastery (sadism), to gazing (skotophilia) and curiosity. . . . Thus the first object of the oral component of the sexual instinct is the mother's breast which satisfies the infant's need for nutrition. In the act of sucking for its own sake the erotic component, also gratified in sucking for nutrition, makes itself independent, gives up the object in an external person, and replaces it by a part of the child's own person. The oral impulse becomes *auto-erotic*, as the anal and other erotogenic impulses are from the beginning. Further development has, to put it as concisely as possible, two aims: first, to renounce auto-erotism, to give up again the object found in the child's own body in exchange again for an external one; and secondly, to combine the various objects of the separate impulses and replace them by one single one. This naturally can only be done if the single object is again itself complete, with a body like that of the subject; nor can it be accomplished without some part of the auto-erotic impulse-excitations being abandoned or useless.

The processes by which an object is found are rather involved, and have not so far received comprehensive exposition. For our purposes it may be emphasized that, when the process has reached a certain point in the years of childhood before the latency period, the object adopted proves almost identical with the first object of the oral pleasure impulse.

adopted by reason of the child's dependent relationship to it; it is, namely, the mother, although not the mother's breast. We call the mother the first *love-object*. We speak of "love" when we lay the accent upon the mental side of the sexual impulses and disregard, or wish to forget for a moment, the demands of the fundamental physical or "sensual" side of the impulses. At about the same time when the mother becomes the love-object, the mental operation of repression has already begun in the child and has withdrawn from him the knowledge of some part of his sexual aims. Now with this choice of the mother as love-object is connected all that which, under the name of "*the Oedipus complex*," has become of such great importance in the psycho-analytic explanation of the neuroses, and which has had a perhaps equally important share in causing the opposition against psycho-analysis.

\* \* \* \* \*

Now you will be impatiently waiting to hear what this terrible Oedipus complex comprises. The name tells you: you all know the Greek myth of King Oedipus, whose destiny it was to slay his father and to wed his mother, who did all in his power to avoid the fate prophesied by the oracle, and who in self-punishment blinded himself when he discovered that in ignorance he had committed both these crimes. . . . And psychological truth is contained in this; even though a man has repressed his evil desires into his Unconscious and would then gladly say to himself that he is no longer answerable for them, he is yet compelled to feel his responsibility in the form of a sense of guilt for which he can discern no foundation. There is no possible doubt that one of the most important sources of the sense of guilt which so often torments neurotic people is to be found in the Oedipus complex. More than this: in 1913, under the title of *Totem and Tabu*, I published a study of the earliest form of religion and morality in which I expressed a suspicion that perhaps the sense of guilt of mankind as a whole, which is the ultimate source of religion and morality, was acquired in the beginnings of history through the Oedipus complex.

\* \* \* \* \*

When the little boy shows the most open sexual curiosity about his mother, wants to sleep with her at night, insists on being in the room while she is dressing, or even attempts physical acts of seduction, as the mother so often observes and laughingly relates, the erotic nature of this attachment to her is established without a doubt. . . . It is easy to see that the little man wants his mother all to himself, finds his father in the way, and shows his dissatisfaction when the latter takes upon himself to caress her, and shows his satisfaction when the father goes away or is absent. He often expresses his feelings directly in words and promises his mother to marry her.

\* \* \* \* \*

From the time of puberty onward the human individual must devote himself to the great task of *freeing himself from the parents*; and only after this detachment is accomplished can he cease to be a child and so become a member of the social community. For a son, the task consists in releasing his libidinal desires from his mother, in order to employ them in the quest of an external love-object in reality; and in reconciling himself with his father if he has remained antagonistic to him, or in freeing himself from his domination if, in the reaction to the infantile revolt, he has lapsed into subservience to him. These tasks are laid down for every man; it is noteworthy how seldom they are carried through ideally, that is, how seldom they are solved in a manner psychologically as well as socially satisfactory. In neurotics, however, this detachment from the parents is not accomplished at all; the son remains all his life in subjection to his father, and incapable of transferring his libido to a new sexual object. In the reversed relationship the daughter's fate may be the same. In this sense the Oedipus complex is justifiably regarded as the kernel of the neuroses.

### DREAMS AS WISH FULFILMENTS<sup>23</sup>

We hoped to find a path to an understanding of the problems presented by dreams in the fact that certain very transparent phantasy-formations are called "day-dreams." Now these day-dreams are literally wish-fulfilments, fulfilments of ambitious or erotic wishes, which we recognize as such; they are, however, carried out in thought, and, however vividly imagined, they never take the form of hallucinatory experiences. Here, therefore, the less certain of the two main characteristics of the dream is retained, whereas the other, to which the condition of sleep is essential and which cannot be realized in waking life, is entirely lacking. So in language we find a hint that a wish-fulfilment is a main characteristic of dreams. And further, if the experience we have in dreams is only another form of imaginative representation, a form which becomes possible under the peculiar conditions of the sleeping state — "a nocturnal day-dream," as we might call it — we understand at once how it is that the process of dream-formation can abrogate the stimulus operating at night and can bring gratification: for day-dreaming also is a mode of activity closely linked up with gratification, which is in fact the only reason why people practise it.

\* \* \* \* \*

Now see how much information we have gained, and that with hardly any trouble, from our study of children's dreams! We have learnt that

<sup>23</sup>*Ibid.*, from pp. 117-37.

SIGMUND FREUD

the function of dreams is to protect sleep; that they arise out of two conflicting tendencies, of which the one, the desire for sleep, remains constant, whilst the other endeavours to satisfy some mental stimulus; that dreams are proved to be mental acts, rich in meaning; that they have two main characteristics, i.e., they are wish-fulfilments and hallucinatory experiences.

\* \* \* \* \*

There is another class of dreams at least in which no distortion is present and which, like children's dreams, we easily recognize to be wish-fulfilments. These are dreams which are occasioned all through life by imperative physical needs—hunger, thirst, sexual desire—and are wish-fulfilments in the sense of being reactions to internal somatic stimuli.

\* \* \* \* \*

Dreams are the means of removing, by hallucinatory satisfaction, mental stimuli that disturb sleep. . . . Every time that we fully understand a dream it proves to be a wish-fulfilment; and this coincidence cannot be accidental or unimportant. Dreams of another type are assumed by us to be distorted substitutes for an unknown content, which first of all has to be traced; we have various grounds for this assumption, amongst others the analogy to our conception of errors. Our next task is to investigate and understand this *dream-distortion*.

It is dream-distortion which makes dreams seem strange and incomprehensible. There are several things we want to know about it: first, whence it comes (its dynamics), secondly, what it does, and finally, how it does it. Further, we can say that distortion is the production of the *dream-work*.

\* \* \* \* \*

We actually use the term DREAM-CENSORSHIP, and ascribe part of the distortion to its agency. Wherever there are gaps in the manifest dream we know that the censorship is responsible; and indeed we should go further and recognize that wherever, amongst other more clearly defined elements, one appears which is fainter, more indefinite or more dubious in recollection, it is evidence of the work of the censorship. . . . Omission, modification, regrouping of material—these then are the modes of the dream-censorship's activity and the means employed in distortion. The censorship itself is the originator, or one of the originators, of distortion, the subject of our present enquiry. Modification and alteration in arrangement are commonly included under the term "displacement."

\* \* \* \* \*

We have found out that the distortion in dreams which hinders our understanding of them is due to the activities of a censorship, directed against the unacceptable, unconscious wish-impulses. . . . We call a constant relation . . . between a dream-element and its translation a *symbolic* one, and the dream-element itself a *symbol* of the unconscious dream-thought. . . . The number of things which are represented symbolically in dreams is not great. The human body as a whole, parents, children, brothers and sisters, birth, death, nakedness – and one thing more. The only typical, that is to say, regularly occurring, representation of the human form as a whole is that of a *house*. . . . When walls are quite smooth, the house means a man: when there are ledges and balconies which can be caught hold of, a woman. Parents appear in dreams as *emperor* and *empress*, *king* and *queen* or other exalted personages; in this respect the dream attitude is highly dutiful. Children and brothers and sisters are less tenderly treated, being symbolized by *little animals* or *vermin*. Birth is almost invariably represented by some reference to *water*: either we are falling into water or clambering out of it, saving someone from it or being saved by them, i.e. the relation between mother and child is symbolized. For dying we have setting out upon a *journey* or *travelling* by train, while the state of death is indicated by various obscure and, as it were, timid allusions: *clothes* and *uniforms* stand for nakedness. You see that here the dividing line between the symbolic and the allusive kinds of representation tends to disappear.

### PSYCHOPATHOLOGY OF EVERYDAY LIFE (FREUDIAN SLIPS)<sup>24</sup>

We shall now begin, not with postulates, but with an investigation. For this purpose we shall select certain phenomena which are very frequent, very familiar and much overlooked, and which have nothing to do with illness, since they may be observed in every healthy person. I refer to errors that everyone commits: as when anyone wishes to say a certain thing but uses the wrong word (“slip of the tongue”); or when the same sort of mistake is made in writing (“slip of the pen”), in which case one may or may not notice it; or when anyone reads in print or writing something other than what is actually before him (“misreading”); or when anyone mis-hears what is said to him, naturally when there is no question of disease of the auditory sense-organ. Another series of such phenomena are those based on forgetting something temporarily, though not permanently; as, for instance, when anyone cannot think of a name which he knows quite well and is always able to recognize whenever he

<sup>24</sup>*Ibid.*, from pp. 25-67.

sees it; or when anyone forgets to carry out some intention, which he afterwards remembers, and had therefore forgotten only for a certain time. This element of transitoriness is lacking in a third class, of which mislaying things so that they cannot be found is an example. This is a kind of forgetfulness which we regard differently from the usual kind; one is amazed or annoyed at it, instead of finding it comprehensible. Allied to this are certain *mistakes*, in which the temporary element is again noticeable, as when one believes something for a time which both before and afterwards one knows to be untrue, and a number of similar manifestations which we know under various names.

\* \* \* \* \*

The commonest and also the most noticeable form of slip of the tongue . . . is that of saying the exact opposite of what one meant to say. These cases are quite outside the effect of any relations between sounds or confusion due to similarity, and in default one may therefore turn to the fact that opposites have a strong conceptual connection with one another and are psychologically very closely associated. There are well-known examples of this sort. For instance, the President of our Parliament once opened the session with the words, "Gentlemen, I declare a quorum present and herewith declare the session *closed*." . . .

As an instance of an interchange (in the position of words) someone might say "The Milo of Venus" instead of "The Venus of Milo." The well-known slip of the hotel-boy who, knocking at the bishop's door, nervously replied to the question "Who is it?" "The Lord, my boy!" is another example of such an interchange in the position of words. . . . And when a member of the House of Commons referred to another as the "honourable member for Central *Hell*," instead of "Hull," it was a case of perseveration; as also when a soldier said to a friend "I wish there were a thousand of our men *mortified* on that hill, Bill," instead of "fortified." In the one case the *ell* sound has perseverated from the previous words "member for Central," and in the other the *m* sound in "men" has perseverated to form "mortified."

\* \* \* \* \*

We encounter a principle which will later on reveal itself to be of quite prodigious importance in the causation of neurotic symptoms: namely, the aversion on the part of memory against recalling anything connected with painful feelings that would revive the pain if it were recalled. In this tendency towards *avoidance of pain* from recollection or other mental processes, this flight of the mind from that which is unpleasant, we may perceive the ultimate purpose at work behind not merely the forgetting of names, but also many other errors, omissions, and mistakes. The forgetting of names seems, however, to be especially facilitated psychophysically, and therefore does occur on occasions where the inter-



vention of an unpleasantness-motive cannot be established. When anyone has a tendency to forget names, it can be confirmed by analytic investigation that names escape, not merely because he does not like them or because they remind him of something disagreeable, but also because the particular name belongs to some other chain of associations of a more intimate nature.

\* \* \* \* \*

Bungled<sup>25</sup> actions can, of course, also serve a whole number of other obscure purposes. Here is a first example. It is very rare for me to break anything. I am not particularly dexterous but a result of the anatomical integrity of my nerve-muscle apparatus is that there are clearly no grounds for my making clumsy movements of this kind, with their unwelcome consequences. I cannot therefore recall any object in my house that I have ever broken. Shortage of space in my study has often forced me to handle a number of pottery and stone antiquities (of which I have a small collection) in the most uncomfortable positions, so that onlookers have expressed anxiety that I should knock something down and break it. That however has never happened. Why then did I once dash the marble cover of my plain inkpot to the ground so that it broke?

My inkstand is made out of a flat piece of Untersberg marble which is hollowed out to receive the glass inkpot; and the inkpot has a cover with a knob made of the same stone. Behind this inkstand there is a ring of bronze statuettes and terra cotta figures. I sat down at the desk to write, and then moved the hand that was holding the pen-holder forward in a remarkably clumsy way, sweeping on to the floor the inkpot cover which was lying on the desk at the time.

The explanation was not hard to find. Some hours before, my sister had been in the room to inspect some new acquisitions. She admired them very much, and then remarked: "Your writing table looks really attractive now; only the inkstand doesn't match. You must get a nicer one." I went out with my sister and did not return for some hours. But when I did I carried out, so it seems, the execution of the condemned inkstand. Did I perhaps conclude from my sister's remark that she intended to make me a present of a nicer inkstand on the next festive occasion, and did I smash the unlovely old one so as to force her to carry out the intention she had hinted at? If that is so, my sweeping movement was only apparently clumsy; in reality it was exceedingly adroit and well-directed, and understood how to avoid damaging any of the more precious objects that stood around.

\* \* \* \* \*

<sup>25</sup>*The Psychopathology of Everyday Life*, trans. Alan Tyson, ed. James Strachey, pp. 178-179. VI (1960), STANDARD EDITION, *op. cit.*, from pp. 167-68, 178-79.

It is well known that in the severer cases of psychoneurosis instances of self-injury are occasionally found as symptoms and that in such cases suicide can never be ruled out as a possible outcome of the psychical conflict. I have now learnt and can prove from convincing examples that many apparently accidental injuries that happen to such patients are really instances of self-injury. What happens is that an impulse to self-punishment, which is constantly on the watch and which normally finds expression in self-reproach or contributes to the formation of a symptom, takes ingenious advantage of an external situation that chance happens to offer, or lends assistance to that situation until the desired injurious effect is brought about. Such occurrences are by no means uncommon in cases even of moderate severity, and they betray the part which the unconscious intention plays by a number of special features — e.g. by the striking composure that the patients retain in what is supposed to be an accident.

### TRAUMAS: THEIR ABREACTION AND CATHARSIS<sup>26</sup>

*Observations . . . seem to us to establish an analogy between the pathogenesis of common hysteria and that of traumatic neuroses, and to justify an extension of the concept of traumatic hysteria.* In traumatic neuroses the operative cause of the illness is not the trifling physical injury but the affect of fright—the psychical trauma. In an analogous manner, our investigations reveal, for many, if not for most, hysterical symptoms, precipitating causes which can only be described as psychical traumas. Any experience which calls up distressing affects—such as those of fright, anxiety, shame or physical pain—may operate as a trauma of this kind; and whether it in fact does so depends naturally enough on the susceptibility of the person affected (as well as on another condition which will be mentioned later). In the case of common hysteria it not infrequently happens that, instead of a single, major trauma, we find a number of partial traumas forming a *group* of provoking causes. These have only been able to exercise a traumatic effect by summation and they belong together in so far as they are in part components of a single story of suffering. There are other cases in which an apparently trivial circumstance combines with the actually operative event or occurs at a time of peculiar susceptibility to stimulation and in this way attains the dignity of a trauma which it would not otherwise have possessed but which thenceforward persists.

But the causal relation between the determining psychical trauma and the hysterical phenomenon is not of a kind implying that the trauma

<sup>26</sup>Josef Breuer and Sigmund Freud, *Studies on Hysteria*, trans. James Strachey, in vol. II (1955), STANDARD EDITION, *op. cit.*, from pp. 5-17.

merely acts like an *agent provocateur* in releasing the symptom, which thereafter leads an independent existence. We must presume rather that the psychological trauma—or more precisely the memory of the trauma—acts like a foreign body which long after its entry must continue to be regarded as an agent that is still at work; and we find the evidence for this in a highly remarkable phenomenon which at the same time lends an important *practical* interest to our findings.

For we found, to our great surprise at first, that *each individual hysterical symptom immediately and permanently disappeared when we had succeeded in bringing clearly to light the memory of the event by which it was provoked and in arousing its accompanying affect, and when the patient had described that event in the greatest possible detail and had put the affect into words.* Recollection without affect almost invariably produces no result. The psychological process which originally took place must be repeated as vividly as possible; it must be brought back to its *status nascendi* and then given verbal utterance. Where what we are dealing with are phenomena involving stimuli (spasms, neuralgias and hallucinations) these re-appear once again with the fullest intensity and then vanish for ever. Failures of function, such as paralyses and anaesthesias, vanish in the same way, though, of course, without the temporary intensification being discernible.

It is plausible to suppose that it is a question here of unconscious suggestion: the patient expects to be relieved of his sufferings by this procedure, and it is this expectation, and not the verbal utterance, which is the operative factor. This, however, is not so. The first case of this kind that came under observation dates back to the year 1881, that is to say to the “pre-suggestion” era. A highly complicated case of hysteria was analysed in this way, and the symptoms, which sprang from separate causes, were separately removed. This observation was made possible by spontaneous auto-hypnoses on the part of the patient, and came as a great surprise to the observer.

We may reverse the dictum “*cessante causa cessat effectus*” [“when the cause ceases the effect ceases”] and conclude from these observations that the determining process continues to operate in some way or other for years—not indirectly, through a chain of intermediate causal links, but as a *directly* releasing cause—just as a psychological pain that is remembered in waking consciousness still provokes a lachrymal secretion long after the event. *Hysterics suffer mainly from reminiscences.*

At first sight it seems extraordinary that events experienced so long ago should continue to operate so intensely—that their recollection should not be liable to the wearing away process to which, after all, we see all our memories succumb. The following considerations may perhaps make this a little more intelligible.

The fading of a memory or the losing of its affect depends on various factors. The most important of these is *whether there has been an*

*energetic reaction to the event that provokes an affect.* By "reaction" we here understand the whole class of voluntary and involuntary reflexes — from tears to acts of revenge — in which, as experience shows us, the affects are discharged. If this reaction takes place to a sufficient amount a large part of the affect disappears as a result. Linguistic usage bears witness to this fact of daily observation by such phrases as "to cry oneself out" ["*sich ausweinen*"], and to "blow off steam" ["*sich austoben*," literally "to rage oneself out"]. If the reaction is suppressed, the affect remains attached to the memory. An injury that has been repaid, even if only in words, is recollected quite differently from one that has had to be accepted. Language recognizes this distinction, too, in its mental and physical consequences; it very characteristically describes an injury that has been suffered in silence as "a mortification" ["*Kränkung*," lit. "making ill"]. — The injured person's reaction to the trauma only exercises a completely "cathartic" effect if it is an *adequate* reaction — as, for instance, revenge. But language serves as a substitute for action; by its help, an affect can be "abreacted" almost as effectively. In other cases speaking is itself the adequate reflex, when, for instance, it is a lamentation or giving utterance to a tormenting secret, e.g. a confession. If there is no such reaction, whether in deeds or words, or in the mildest cases in tears, any recollection of the event retains its affective tone to begin with.

"Abreaction," however, is not the only method of dealing with the situation that is open to a normal person who has experienced a psychical trauma. A memory of such a trauma, even if it has not been abreacted, enters the great complex of associations, it comes alongside other experiences, which may contradict it, and is subjected to rectification by other ideas. After an accident, for instance, the memory of the danger and the (mitigated) repetition of the fright becomes associated with the memory of what happened afterwards — rescue and the consciousness of present safety. Again, a person's memory of a humiliation is corrected by his putting the facts right, by considering his own worth, etc. In this way a normal person is able to bring about the disappearance of the accompanying affect through the process of association.

To this we must add the general effacement of impressions, the fading of memories which we name "forgetting" and which wears away those ideas in particular that are no longer affectively operative.

Our observations have shown, on the other hand, that the memories which have become the determinants of hysterical phenomena persist for a long time with astonishing freshness and with the whole of their affective colouring. We must, however, mention another remarkable fact, which we shall later be able to turn to account, namely, that these memories, unlike other memories of their past lives, are not at the patients' disposal. On the contrary, *these experiences are completely absent from the patients' memory when they are in a normal psychical*

state, or are only present in a highly summary form. Not until they have been questioned under hypnosis do these memories emerge with the undiminished vividness of a recent event.

Thus, for six whole months, one of our patients reproduced under hypnosis with hallucinatory vividness everything that had excited her on the same day of the previous year (during an attack of acute hysteria). A diary kept by her mother without her knowledge proved the completeness of the reproduction. Another patient, partly under hypnosis and partly during spontaneous attacks, re-lived with hallucinatory clarity all the events of a hysterical psychosis which she had passed through ten years earlier and which she had for the most part forgotten till the moment at which it re-emerged. Moreover, certain memories of aetiological importance which dated back from fifteen to twenty-five years were found to be astonishingly intact and to possess remarkable sensory force, and when they returned they acted with all the affective strength of new experiences.

This can only be explained on the view that these memories constitute an exception in their relation to all the wearing-away processes which we have discussed above. *It appears, that is to say, that these memories correspond to traumas that have not been sufficiently abreacted;* and if we enter more closely into the reasons which have prevented this, we find at least two sets of conditions under which the reaction to the trauma fails to occur.

In the first group are those cases in which the patients have not reacted to a psychical trauma because the nature of the trauma excluded a reaction, as in the case of the apparently irreparable loss of a loved person or because social circumstances made a reaction impossible or because it was a question of things which the patient wished to forget, and therefore intentionally repressed from his conscious thought and inhibited and suppressed. It is precisely distressing things of this kind that, under hypnosis, we find are the basis of hysterical phenomena (e.g. hysterical deliria in saints and nuns, continent women and well-brought-up children).

The second group of conditions are determined, not by the content of the memories but by the psychical states in which the patient received the experiences in question. For we find, under hypnosis, among the causes of hysterical symptoms ideas which are not in themselves significant, but whose persistence is due to the fact that they originated during the prevalence of severely paralysing affects, such as fright, or during positively abnormal psychical states, such as the semi-hypnotic twilight state of day-dreaming, auto-hypnoses, and so on. In such cases it is the nature of the states which makes a reaction to the event impossible.

Both kinds of conditions may, of course, be simultaneously present, and this, in fact, often occurs. It is so when a trauma which is operative

in itself takes place while a severely paralysing affect prevails or during a modified state of consciousness. But it also seems to be true that in many people a psychical trauma *produces* one of these abnormal states, which, in turn, makes reaction impossible.

Both of these groups of conditions, however, have in common the fact that the psychical traumas which have not been disposed of by reaction cannot be disposed of either by being worked over by means of association. In the first group the patient is determined to forget the distressing experiences and accordingly excludes them so far as possible from association; while in the second group the associative working-over fails to occur because there is no extensive associative connection between the normal state of consciousness and the pathological ones in which the ideas made their appearance. We shall have occasion immediately to enter further into this matter.

*It may therefore be said that the ideas which have become pathological have persisted with such freshness and affective strength because they have been denied the normal wearing-away processes by means of abreaction and reproduction in states of uninhibited association.*

We have stated the conditions which, as our experience shows, are responsible for the development of hysterical phenomena from psychical traumas. In so doing, we have already been obliged to speak of abnormal states of consciousness in which these pathogenic ideas arise, and to emphasize the fact that the recollection of the operative psychical trauma is not to be found in the patient's normal memory but in his memory when he is hypnotized. The longer we have been occupied with these phenomena the more we have become convinced that *the splitting of consciousness which is so striking in the well-known classical cases under the form of "double conscience" is present to a rudimentary degree in every hysteria, and that a tendency to such a dissociation, and with it the emergence of abnormal states of consciousness (which we shall bring together under the term "hypnoid") is the basic phenomenon of this neurosis.* In these views we concur with Binet and the two Janet's, though we have had no experience of the remarkable findings they have made on anaesthetic patients.

We should like to balance the familiar thesis that hypnosis is an artificial hysteria by another—the basis and *sine qua non* of hysteria is the existence of hypnoid states. These hypnoid states share with one another and with hypnosis, however much they may differ in other respects, one common feature: the ideas which emerge in them are very intense but are cut off from associative communication with the rest of the content of consciousness. Associations may take place between these hypnoid states, and their ideational content can in this way reach a more or less high degree of psychical organization. Moreover, the nature of these states and the extent to which they are cut off from the

remaining conscious processes must be supposed to vary just as happens in hypnosis, which ranges from a light drowsiness to somnambulism, from complete recollection to total amnesia.

If hypnoid states of this kind are already present before the onset of the manifest illness, they provide the soil in which the affect plants the pathogenic memory with its consequent somatic phenomena. This corresponds to *dispositional* hysteria. We have found, however, that a severe trauma (such as occurs in a traumatic neurosis) or a laborious suppression (as of a sexual affect, for instance) can bring about a splitting-off of groups of ideas even in people who are in other respects unaffected; and this would be the mechanism of *psychically acquired* hysteria. Between the extremes of these two forms we must assume the existence of a series of cases within which the liability to dissociation in the subject and the affective magnitude of the trauma vary inversely.

We have nothing new to say on the question of the origin of these dispositional hypnoid states. They often, it would seem, grow out of the day-dreams which are so common even in healthy people and to which needlework and similar occupations render women especially prone. Why it is that the "pathological associations" brought about in these states are so stable and why they have so much more influence on somatic processes than ideas are usually found to do—these questions coincide with the general problem of the effectiveness of hypnotic suggestions. Our observations contribute nothing fresh on this subject. But they throw a light on the contradiction between the dictum "hysteria is a psychosis" and the fact that among hysterics may be found people of the clearest intellect, strongest will, greatest character and highest critical power. This characterization holds good of their waking thoughts; but in their hypnoid states they are insane, as we all are in dreams. Whereas, however, our dream-psychoses have no effect upon our waking state, the products of hypnoid states intrude into waking life in the form of hysterical symptoms.

\* \* \* \* \*

It will now be understood how it is that the psychotherapeutic procedure which we have described in these pages has a curative effect. *It brings to an end the operative force of the idea which was not abreacted in the first instance, by allowing its strangulated affect to find a way out through speech; and it subjects it to associative correction by introducing it into normal consciousness (under light hypnosis) or by removing it through the physician's suggestion, as is done in somnambulism accompanied by amnesia.*

In our opinion the therapeutic advantages of this procedure are considerable. It is of course true that we do not cure hysteria in so far as it is a matter of disposition. We can do nothing against the recurrence of hypnoid states. Moreover, during the productive stage of an acute

SIGMUND FREUD

hysteria our procedure cannot prevent the phenomena which have been so laboriously removed from being at once replaced by fresh ones. But once this acute stage is past, any residues which may be left in the form of chronic symptoms or attacks are often removed, and permanently so, by our method, because it is a radical one; in this respect it seems to us far superior in its efficacy to removal through direct suggestion, as it is practised to-day by psychotherapists.

If by uncovering the psychical mechanism of hysterical phenomena we have taken a step forward along the path first traced so successfully by Charcot with his explanation and artificial imitation of hysterotraumatic paralyzes, we cannot conceal from ourselves that this has brought us nearer to an understanding only of the *mechanism* of hysterical symptoms and not of the internal causes of hysteria. We have done no more than touch upon the aetiology of hysteria and in fact have been able to throw light only on its acquired forms—on the bearing of accidental factors on the neurosis.